Encoding, consolidation, and retrieval of contextual memory: Differential involvement of dorsal CA3 and CA1 hippocampal subregions

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Studies in human and animals shed light on the unique hippocampus contributions to relational memory. However, the particular role of each hippocampal subregion in memory processing is still not clear. Hippocampal computational models and theories have emphasized a unique function in memory for each hippocampal subregion, with the CA3 area acting as an autoassociative memory network and the CA1 area as a critical output structure. In order to understand the respective roles of the CA3- and CA1-hippocampal areas in the formation of contextual memory, we studied the effects of the reversible inactivation by lidocaine of the CA3 or CA1 areas of the dorsal hippocampus on acquisition, consolidation, and retrieval of a contextual fear conditioning. Whereas infusions of lidocaine never impaired elementary tone conditioning, their effects on contextual conditioning provided interesting clues about the role of these two hippocampal regions. They demonstrated first that the CA3 area is necessary for the rapid elaboration of a unified representation of the context. Secondly, they suggested that the CA1 area is rather involved in the consolidation process of contextual memory. Third, they showed that CA1 or CA3 inactivation during retention test has no effect on contextual fear retrieval when a recognition memory procedure is used. In conclusion, our findings point as evidence that CA1 and CA3 subregions of the dorsal hippocampus play important and different roles in the acquisition and consolidation of contextual fear memory, whereas they are not required for context recognition.

The uncommon neuronal architecture of the CA3 region allows local associative synaptic modification, recurrent activation, and sparse random activity to occur, suggesting that it might serve as an autoassociative memory, where multimodal information could be processed as an integrated representation, then stored and ultimately completely retrieved from partial or degraded inputs (Nakazawa et al. 2002). Therefore, the CA3 network has been allocated the capacity to enable rapid acquisition of unique associations and to store patterned information received from the dentate gyrus or directly from the entorhinal cortex for a short period of time (Rolls and Treves 1996). Accordingly, we can hypothesize a crucial role of the CA3 network in contextual memory acquisition. Such autoassociative properties are not found in the CA1 area, considered as the major output structure of the hippocampus. Nevertheless, an information-processing function has also been assigned to this subregion. Indeed, the CA1 area might be instrumental in recognizing the novelty or familiarity of an object or context (see Nakazawa et al. 2004). Therefore, this area might be rather involved in the consolidation and retrieval of recent contextual memory, than in its processing.

According to numerous theories on the functional differentiation of hippocampal areas, some recent studies have tried to better understand the specific roles of these two hippocampal subregions in learning and memory. They have focused on the study of neurotransmitter receptors (Tsien et al. 1996; Riedel et al. 2000; Lee and Kesner 2002; Nakazawa et al. 2002) or on the understanding of intrahippocampal connections (Brun et al. 2002). Nevertheless, most studies that have focused on hippocampal subregions involved only irreversible lesions, which does not allow a clear understanding of differential involvement of CA1 and CA3 areas in learning and memory processing (Lee and Kesner 2004a,b; Lee et al. 2004).
The purpose of this study was to shed light on the respective involvements of the CA3 and CA1 areas in the different stages of contextual memory processing in mice, using focused and reversible lesions.

In classical fear conditioning in rodents, pairing a conditional stimulus (CS) with an aversive unconditioned stimulus (US), such as an electric foot-shock, elicits a conditioned fear response, such as freezing (Phillips and LeDoux 1992). Although the CS can be a tone, as well as the context, the resulting learning process is different in each case. On the one hand, tone-US association is based on a simple associative learning. On the other hand, taking the context as a CS entails relational learning to form a unified representation of environmental stimuli and their mutual relations, a process that may contribute to form a configural representation and also participate in the formation of human declarative memory (Eichenbaum 1996). In other words, whereas auditory fear conditioning involves discrete unisensory information processing, contextual fear conditioning, in contrast, involves multisensory information processing of present stimuli. For instance, episodic memory stores a kind of relational representation that provides information not only about the “what” of events, but also about the “where” and the “when” they occurred. Relational learning has been linked to processes underlying the formation of spatial and episodic memory and, in contrast to simple associative learning, has been associated with the hippocampus (Eichenbaum 1996; Anagnostaras et al. 2001; Kandel 2001; Morris 2001).

In order to investigate information processing within the CA3 and CA1 areas of the dorsal hippocampus, we chose a reversible inactivation procedure, using lidocaine microinfusions. Lidocaine is a local anesthetic agent that produces reversible inactivation of neural tissue via blockade of voltage-gated sodium channels. Infusions were made either before or immediately after conditioning to focus on encoding or consolidation memory processes, or before the memory test, to act specifically on retrieval.

**Results**

**Histology**

After histological examination of brain slices, 18 mice of a total of 111 have been taken out of the analyses because of unilateral infusion occurrence of the drug or of misplacement of guide cannulae and/or injection. In all remaining mice, the tips of the infusion cannulae were located in the hippocampal area of interest (see Fig. 1).

**Experiment 1: Time- and region-dependent effects of pre-conditioning lidocaine infusion in the dorsal hippocampus**

**Locomotor activity**

First of all, in order to check the risk of a side effect of the lidocaine infusion on locomotion, the general activity of mice was assessed by counting the number of experimental chamber crossings during the first 2 min of conditioning. The ANOVA analysis revealed no significant overall variation of locomotor activity among the different groups treated before conditioning \(F_{3,22} = 1.07, P = 0.378\), as can be seen from Figure 2.

**Time efficiency and reversibility of lidocaine**

In order to know precisely how long lidocaine remains active in the hippocampus and to ascertain its good functional reversibility, two groups of mice were injected with lidocaine in the CA3 area, either 10 or 15 min before conditioning (Fig. 3). The ANOVA revealed a significant variation of freezing values among injected groups \(F_{2,22} = 9.991, P = 0.001\). Post-hoc comparisons indicated significantly lower freezing values in lidocaine-injected mice after a 10-min delay \(P = 0.001\), but not after a 15-min delay \(P = 0.622\), than in NaCl-injected mice. Consequently, in further experiments, lidocaine was injected 10 min before conditioning.

**Figure 1.** Location of intrahippocampal infusion sites, example from pre-conditioning injected mice. The distribution of cannulae placements is figured for Control (open shape) and Lidocaine-treated animals (solid shape) for all CA3- (circles) and CA1-injected mice (triangles). The maximum spread of the drug within the tissue is indicated by grayed circles (assessed by a dye experiment). Numbers beside each section indicate the distance in mm posterior to Bregma. Pictures show the guide cannulae tracks for CA1 (above) and CA3 (below) infusions.
after injection, (Fig. 5A). Post-hoc comparisons revealed a significant effect of the treatment made either in the CA3 or in the CA1 subregion [respectively, *P* = 0.009 and *P* = 0.006 vs. NaCl]. No difference appeared between CA3- and CA1-injected mice (*P* = 0.686). Group comparisons of freezing levels expressed during the first and second minute of the contextual test (Fig. 5B) showed a significant increase for NaCl- and CA3-treated groups [respectively, *F*(1,8) = 11.011, *P* = 0.011 and *F*(1,8) = 9.434, *P* = 0.015] but not for the CA1 group [*F*(1,6) = 1.691, *P* = 0.241]. Therefore, whereas lidocaine injection in the CA1 or in the CA3 area impaired contextual learning, only CA1 infusion clearly disrupted the expectancy of a frightening event 2 min after the reintroduction in the conditioning chamber. Freezing to the tone (Fig. 5C) was not disrupted by any local infusion of lidocaine in the dorsal hippocampus [*F*(2,22) = 1.471, *P* = 0.251].

**Experiment 2: Region-dependent effects of post-conditioning lidocaine infusion in the dorsal hippocampus**

**CA3- and CA1- area-specific lidocaine disruption and contextual fear consolidation**

In this second experiment, lidocaine has been injected immediately after conditioning. As can be seen from Figure 6A, this resulted in a significant overall variation of contextual fear [*F*(2,24) = 10.977, *P* = 0.001], with CA1 lidocaine-injected mice expressing significantly lower freezing than those injected in the CA3 subregion (*P* = 0.013) that, in turn, displayed less freezing than NaCl-treated mice (*P* = 0.04). Comparing the evolution of freezing levels between the different groups during the first 2 min (Fig. 6B) revealed a significant increase of freezing in the NaCl-group (*F*(1,7) = 5.494, *P* = 0.05), but not in the CA1- and CA3-injected groups [respectively, *F*(1,6) = 0.001, *P* = 0.976 and *F*(1,6) = 0.179, *P* = 0.684]. When analyzing the evolution of freezing scores in the different groups over the four time intervals, a significant variation of freezing kinetics appeared with time for the NaCl- and CA3-injected groups [respectively, *F*(3,24) = 3.156, *P* = 0.047 and *F*(3,24) = 3.042, *P* = 0.048], that was not apparent in the CA1-injected group [*F*(3,24) = 0.646, *P* = 0.596]. This consequence of lidocaine injection after conditioning in the CA1 area is reminiscent of what we obtained with pre-conditioning injections (Fig. 5) and suggests a major role of the CA1 dorsal hippocampus in the temporal component, the "when" of episodic memory. This temporal effect linked to the event expectancy was fading proportionally to the impairment of the memory of the context. Freezing to the tone (Fig. 6C) was not disrupted by any local microinfusion of lidocaine in the dorsal hippocampus [*F*(2,21) = 2.897, *P* = 0.077].

In order to better understand the consequences of hippocampal disruptions induced by lidocaine infusion in the CA1 or CA3 area, the effects of pre- and post-conditioning injections of lidocaine were compared (Fig. 7). The ANOVA revealed a significant effect of the moment of injection for the CA1 group [*F*(1,12) = 5.309, *P* = 0.04] not observed in case of CA3 inactivation [*F*(1,10) = 2.002, *P* = 0.176]. This comparison allows us to propose that CA1 plays a more important role in consolidation than CA3.

**Experiment 3: Effects of pre-test lidocaine injection in the dorsal hippocampus on contextual memory retrieval**

**No effect of CA3- and CA1 disruption by lidocaine on contextual fear retrieval**

Infusions of lidocaine in the CA1 or CA3 area before the memory test had no consequence on contextual memory retrieval (Fig. 8A), [*F*(2,23) = 1.383, *P* = 0.271]. The comparison of freezing bouts...
expressed during the first 2 min revealed a significant increase in each experimental group [NaCl, $F_{1,8} = 6.291$, $P = 0.036$; CA3, $F_{1,8} = 9.377$, $P = 0.006$; CA1, $F_{1,7} = 5.846$, $P = 0.046$], indicating that mice expressed similar temporal expectancy of the event as illustrated in Figure 8B. Figure 8C shows that no inactivating drug infusion is revealed by the conditioning to the tone ($P = 0.251$).

Discussion

Based on focused reversible inactivations that allow dissociating the different stages of memory processing, these experiments yielded three major findings. First, the dorsal CA1 area, but also the CA3 network, is essential for an optimal acquisition of contextual memory. Secondly, these two hippocampal subregions are clearly involved in contextual memory consolidation, although CA1 inactivation leads to greater disruption of contextual memory. Third, surprisingly, dorsal CA1 and CA3 areas are not necessary for contextual retrieval. Moreover, as could be predicted from the literature, tone conditioning was not affected by any treatment. Finally, the kinetics of freezing behavior was clearly disturbed after post-conditioning lidocaine infusions in the CA1 area, suggesting a possible implication of this area in the memory processing of “when” the event occurred.

**Implication of the CA3 vs. CA1 area in contextual fear memory encoding**

When injected before the learning session, lidocaine decreased contextual fear conditioning in the same manner for both infusion sites. We can hypothesize that CA3 disruption during conditioning prevents the mouse from building a unified representation of the environment. Nevertheless, we must also stress that lidocaine did not completely abolish the freezing behavior expressed during the retrieval test of the context. It could then be objected either that the infused area was too small to completely switch off this learning or that the ventral hippocampus might also be involved in the encoding of the context. However, even large and irreversible hippocampal lesions fail to abolish completely the freezing response to the conditioned context (Gerlai 1998; Rudy and O’Reilly 2001), suggesting that the CA3 area and,
CA1 and CA3 implication in contextual memory processing

In our study, disruption of the CA3 network immediately after conditioning impaired contextual fear memory less than CA1 disruption. As lidocaine completely abolishes electric events by blocking voltage-dependent sodium channels, and subsequently, neurotransmission and LTP, it will result in an interruption of the early consolidation process. Nevertheless, there might be enough time between the first electric-shock and lidocaine infusion for consolidation to be initiated, which would explain residual freezing observed in both injected groups.

All together, it appears that CA3 activity is necessary for contextual memory consolidation, but also that CA1 activity after fear conditioning has an even larger involvement. Our results match previous work showing that NMDA receptors, β-adrenergic receptors, but also metabotropic glutamate receptors in CA1 would play a crucial role in spatial and/or contextual learning abilities, namely in the consolidation process (Riedel et al. 2000; Shimizu et al. 2000; Nakazawa et al. 2002; Ji et al. 2003).

Moreover, we have found a clear impairment of the temporal evolution of freezing in the CA1-treated group, suggesting that the CA1 area might be involved in temporal information processing. The results of Huerta et al. (2000), obtained from knockout mice lacking NMDARs only in hippocampal CA1 pyramidal cells, also suggest that the CA1 area is crucial for the formation of memories that associate events over time.

Contextual retrieval and hippocampal CA3 and CA1 areas

The hippocampus subsequently plays a time-limited role in memory by enabling the gradual development of intracortical connections that render cortical memory traces enduring and self-sufficient, a process that Morris et al. (2003) referred to as systems-level consolidation (Squire 1992; McClelland et al. 1995). Hippocampal neuronal activity is generally acknowledged as being involved in the retrieval of recent episodic memories, whereas it is not for more remote memories (Kim and Fanselow 1992). However, our results did not show any disruption of contextual fear retrieval following inactivation of dorsal hippocampal CA1 or CA3 subregions during the contextual memory test. This outcome could imply that memory trace retrieval, 24 h after learning, is already independent of these hippocampal subregions. For instance, Fortin et al. (2002), using radiofrequency lesions of the entire structure of rats, demonstrated that the hippocampus is essential to learn a sequence of events, but is not required to recognize items that occur in a unique series of events. Therefore, it is possible that a functional hippocampus is needed for the recall of only some types of memories, and that in the case of contextual fear memory, independent activation of CA1 and CA3 subregions is not required for retrieval, this role being then devoted to some other cortical areas. Nevertheless, it has also been demonstrated that (1) lesions made 1 d after conditioning resulted in a large contextual memory impairment (Kim and Fanselow 1992), and that (2) the CA3 network can support a recall mechanism named pattern completion (Nakazawa et al. 2002), which could be involved in some instances of contextual fear recall (Rudy and O’Reilly 2001). Thus, the CA1 and CA3 subregions seem to be necessary for memory retrieval. To account for our discrepant results, it could be objected that the microinjections performed in our study lead to restrained inactivation that would not allow revealing the involvement of these two areas in contextual memory retrieval. The important result from our study remains, nonetheless, that same-range disruptions of hippocampal CA1 and CA3 subregions result in a deficit in the encoding and consolidation of memory, whereas they spare the retrieval mechanism, leading to the observation that hippocampal networks are not evenly requested during all stages of contextual memory processing. A more compelling explanation can nevertheless be put forward; retrieval tests in animal experiments generally make use of recognition rather than recall procedures, as is the case in our study, so that the subject can recognize the environment, which does not need a functional hippocampus, since it has been widely demonstrated in humans and animals that recognition memory remains relatively performing when the hippocampus has been damaged either by ischemia (Mayes et al. 2004), or lesions of various origins (Squire et al. 2001), experimental lesions (Forwood et al. 2005), aging (McIntosh et al. 1999; Sekuler et al. 2005), or even degenerative processes as in Alzheimer’s disease (Karlsson et al. 2003).

Conclusions

Our results demonstrate clearly that both the CA3 and CA1 subregions of the dorsal hippocampus play important and complementary roles in the encoding and consolidation processes of contextual memory. In contrast, contextual retrieval can occur...
important for memory consolidation and might also deal with representation of the context, whereas the CA1 area would be more multisensori-information patterns in order to build a unified representation and also consolidation of the association of incoming more important in temporal pattern separation (Kesner et al. 2000). These results complete previous knowledge on functional specification of the context (the area is neither involved in context recognition nor in tone textual memory, whereas in our experimental conditions, this is necessary for both optimal encoding and consolidation of contextual freezing. Hippocampal CA3 and CA1 lidocaine infusions failed to impair the temporal component of memory (the "when") in addition to its implication in the acquisition and consolidation of the representation of the context (the "where"). These results complete previous knowledge on functional specificity of hippocampal subregions that assumes the involvement of the CA3 area in spatial and temporal working memory in pattern completion and in pattern association, with CA1 being more important in temporal pattern separation (Kesner et al. 2000).

In summary, our results suggest that the CA3 network, via prior information processing in the dentate gyrus, could support acquisition and also consolidation of the association of incoming multisensori-information patterns in order to build a unified representation of the context, whereas the CA1 area would be more important for memory consolidation and might also deal with the temporal component of the contextual representation.

Materials and Methods

Subjects

The subjects were C57BL/6j 9–12-wk-old male mice obtained from Charles River and reared in the CRCA breeding facility. They were housed in groups of from 3 to 5 per cage and maintained at a constant temperature (21 ± 1 °C) with a 12-h light/12-h dark cycle (lights on at 8:00 a.m.). Water and food were available ad libitum.

Implantation of guide cannulae for intrahippocampal microinfusions

Mice were anesthetized with a mixture of ketamine hydrochloride (100 mg/kg, i.p.) (Vrilibac) and xylazine (15 mg/kg, i.p.) (Rompun, Bayer Pharma). Stainless-steel guide cannulae (24G) were implanted bilaterally in the cortex above the dorsal hippocampus using standard stereotaxic procedures. Cannulae coordinates for the CA1 infusion site were as follows: (AP) –1.6 mm posterior to bregma, (ML) ± 1 mm, (DV) –1.2 mm from the skull, and for the CA3 infusion site as follows: (AP) –1.6 mm, (ML) ± 2.3 mm, (DV) –1.5 mm, according to the brain atlas of Franklin and Paxinos (1997). Dental cement (polycarboxylate, Sigma) was used to fasten the guide cannulae to the skull. Stainless-steel obturators were inserted into guide cannulae to prevent occlusion of the needle tip. The injections were made. A total of 111 male mice were operated on and tested. After surgery, mice were allowed at least 1 wk to recover, and were gently handled daily by the experimenter to minimize the stress associated with handling throughout the experiments. All experiments were carried out in the afternoon during the diurnal phase. This work was carried out in accordance with the policies of the French Committee of Ethics. S. Daumas, B. Francés, and J-M. Lassalle are authorized by the French Direction of Veterinary Services to conduct surgery and behavioral experiments on vertebrates (Authorization #31-111, #03-817, and #31-122).

Intrahippocampal microinfusion procedure and drug diffusion

On test days, the animals were carried to the surgery room, where injections were made. Prior to each injection, the obturators were removed and an infusion cannula was inserted extending 1.1 mm beyond the end of the guide cannula for CA3 infusions and 0.9 mm for CA1 infusions. The infusion cannula was connected by a polypropylene tube to a Hamilton microsyringe that delivered the solution at the rate of 0.11 µL/min, using an automated pump. A volume of 0.25 µL of a 2% lidocaine hydrochloride solution (Sigma) or saline was infused into each dorsal hippocampus. After completing the infusion, the injection cannulae were left in place for an additional 60 sec. Lidocaine is an amide-linked local anesthetic that reduces sodium conduction by blocking voltage-gated sodium channels, thereby preventing membrane depolarization and conduction of the action potential. We used lidocaine for its short duration effect. Tehovnik and Sommer (1997) have demonstrated that monkey cerebral cortex units were inactivated <8 min after intracortical lidocaine injection, and that they gradually recovered, regaining much of their initial activity by 30 min after the beginning of the injection. They could estimate the volume of lidocaine required to inactivate >90% neurons using the spherical volume equation, V = 4/3πr³.

Martin (1991) has demonstrated, using autoradiography on brain slices, that 1 µL of lidocaine spreads in a radial fashion to a distance of 1.7 mm from the site of infusion in both cortical and subcortical tissues, and that its peak activity occurs 10 min post-infusion. In regard to these data and unpublished experiments made in our laboratory studying the diffusion of a dye, the chosen volume of the bolus (0.25 µL) ensures that lidocaine spreads in, and by consequence, inactivates only the studied area (CA3 or CA1) as illustrated in Figure 1. Depending on the experimental group, the animals were injected before conditioning (15 or 10 min before), immediately after conditioning, or 10 min before memory testing.

Apparatus for behavioral testing

Conditioning took place in a conditioning chamber that consisted of a rectangular polyvinyl chloride box (length 35 cm, width 20 cm, and height 25 cm) with three light-brown sides and a Plexiglas front wall, through which experimental subjects were videotaped. The floor was made of a grid with stainless-steel rods (diameter 4 mm) spaced 1 cm and connected to a generator.
CA1 and CA3 implication in contextual memory processing

(Campden Instruments) delivering shocks of defined duration (2 sec) and intensity (0.7 mA) through a shock-scrambler unit. Light-brown disposable tissue paper covered the floor below the grid. The loudspeaker producing the tone (85 dB, 30 sec) was fixed on the top of the conditioning chamber. The experimental device, lit by a 60 W white bulb was surrounded by a white curtain. Two black and white patterns faced the conditioning chamber. Experiments were recorded using a video camera placed in front of the conditioning chamber, connected to a TV monitor and a video tape recorder placed in the adjacent room, where the experimenter and all of the electronic system were settled. The conditioning chamber was cleaned with 70% aqueous ethanol before each conditioning session. Contextual learning was checked in the same experimental conditions as conditioning, whereas tone learning was assessed in a modified context. For that purpose, the external patterns were removed. The modified chamber was made triangular by adjunction of white Plexiglas walls and floor. The apparatus was washed with 1% acetic acid and lit by a 40 W white bulb.

Contextual fear-conditioning procedure

Behavioral testing started 1 wk after surgery. Conditioning consisted of a single conditioning session with two trials. During conditioning mice stayed in the conditioning chamber for a total of 5 min, 30 sec. The mouse was dropped by the experimenter into the conditioning chamber via the ceiling. After a 2-min exploration period, a sound (CS) was emitted for 30 sec, and a foot shock (US) was superimposed to the tone during the last 2 sec. After an intertrial interval of 2 min, the paired CS-US was repeated, and 30 sec after the second foot-shock, mice were gently removed from the chamber and returned to their home cage. Twenty four hours after conditioning, mice were individually checked for freezing to the context in the conditioning chamber for 4 min (memory testing). Two hours later, they were tested for freezing to the tone in the modified context; 2 min after their introduction in the modified chamber, mice received a 2-min tone presentation.

Activity and freezing measurements

In order to ensure that drugs do not act directly on motility, locomotor activity of mice was also measured during the first 2 min of conditioning (Anagnostaras et al. 1999; Lee and Kesner 2004a). It was defined as the number of crossings of a virtual line dividing the conditioning chamber in two parts. Freezing is defined as the lack of movement beside respiration and heartbeats. Freezing was scored every 5 sec during conditioning and test sessions. The data were converted to the percentage of samples scored at freezing and calculated for the 4-min context test period, the 2-min pre-tone, and the 2-min tone test presentation.

Histological examination of cannulae tips and infusions locations

At the end of behavioral experiments, all mice were killed with an overdose of chloral hydrate (800 mg/kg, i.p.) and their brains removed. Brains were stored 24 h in a solution of 2.5% glutaraldehyde–30% sucrose (1:1) to fix the tissue; then, they were placed for 24 h in sucrose (30%) and stored in a refrigerator for dehydration. Afterward, brains were cut into 40-μm coronal sections with a freezing microtome. To verify that brains had been infused to the correct site, sections were mounted on gelatin-treated slides and stained with thionin. After staining, sections were dehydrated through alcohol series, cleared with toluene, and cover-slipped with neosentellan (Merux). The sections, only identified by noninformative numbers, were then examined with a light microscope to verify the good placement of guide cannulae and of infusion sites.

Data analysis

Mean freezing percentages for each group (± SEM) are presented in the figures. Group sizes ranged from seven to nine mice. To satisfy the requirements for the use of ANOVA, the mean percentages of freezing scores (P) were transformed in Q = arcsin(√P/100). Statistical analyses were performed on the Q variable, using one-way analysis of variance (ANOVA), or repeated measures ANOVA design for related samples (SYSTAT 9 for windows). All post-hoc comparisons were conducted using Fisher’s LSD test. α Levels were set at P < 0.05 for all tests.

Acknowledgments

We thank Doctor Claire Rampon for critically reading the manuscript. This study was supported by fundings from CNRS, Université Paul Sabatier and French Department of Research (Action Concertée Initiatrice “Neurosciences Intégratives et Computationnelles”).

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Received May 20, 2004; accepted in revised form April 26, 2005.
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Access the most recent version at doi:10.1101/lm.81905

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